

TrakWeb™ 3.0, The Hub of Your GRC System

- Reports Come From Many Sources
- Most Reports Are Delivered Orally to Managers and Staff
- Thousands of Managers Receive Reports Every Day
- Reports Arrive In Every Department
- Hotlines Typically Hear From Only 1% of Employees
- How Do You Capture and Measure All of This Information from All These Channels?

TrakWeb Gathers All Your Data Into a Single Database

Connects Related Departments

- Single central database serves all participating departments, across the enterprise
- Separate workgroups for HR, EEO, Security, Legal, Internal Audit, Compliance and Ethics preserve confidentiality of sensitive data
- Individual cases may be assigned to a particular user in another workgroup for investigation and advice
- Ability to assign cases across workgroups creates an awareness of larger problems not confined to a single department or part of the organization
- All data collected is stored in the central database and can be compared and cross-referenced to see the larger picture, and spot emerging trends

TrakWeb Connects to Other Systems

- Import tool automatically collects case reports from the Hotline and creates new TrakWeb cases ready for investigation
- Optional Lookup feature can pull data from selected fields in your HR database and populate fields in TrakWeb with current, accurate information
- Connectivity allows flexibility to change vendors of ancillary services when desired, while retaining all legacy data and the reports you and executive management depend on

Enterprise-Wide Metrics

- Reports, charts, and graphs can view the entire company or narrowly defined slices of it
- Scope and content of Reports dependent on user's access privileges
- Reports can show patterns that cross departmental boundaries and which may not be apparent to individual departments
- Reports can discover a body of cases that might soon become large enough for a class action lawsuit against the company

Collect and Manage Reports From All Sources and Channels

- 97% of employees report violations to their managers or other company staff
- TrakWeb 3.0 provides a central collection point for all of this orally delivered and anecdotal data
- Once the data is in the database, you can track it, manage it, and measure it
- If you can measure the data, you can take actions to minimize future occurrences
- TrakWeb 3.0 tracks both personal and systemic corrective actions, so you know that they have been carried out on time

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TrakWeb 3.0 Has Tools to Make Your Job Easier

User Conveniences, Administrative Tools, Reports

Dashboard Control Center

- Keeps everyone organized and on time
- Immediate access to all your open cases, investigations, hot cases, overdue cases, corrective actions programs in progress, and responses due
- Alerts (Tasks) collected from all your cases and displayed in a convenient action list
- Dashboard lists are printable as formatted reports
- Instant access to Search, Reports, New Case screens

User Tools

- Main Page provides easy access to all information on the case, with basic statistical fields at the top, and case specific fields at the bottom
- All case data just one or two steps away from Main Page for easy access
- User default settings speed up New Case entry
- Accepts multiple reporters, involved parties, categories, investigations, events, corrective action programs, and cross-references
- Instant cross-references from the Search Results List
- Events list documents milestones in case
- Automatic email notification when assigning case manager or investigator to case, and recorded as an Event
- Attached documents can be any Windows-compatible file format, including scanned documents, PDFs, jpgs, mp3s, and clips from surveillance tapes
- Compatible with Zip folders and Office 2008

Administrative Tools

- Streamlined User Accounts Screen
- Corrective Actions Programs section tracks activities after the case is closed, helps to ensure that follow ups are carried out in a timely manner
- CAPs can be systemic or directed toward individuals
- Automated import from Hotlines via FTP server
- Private Case Handling for the most sensitive cases, restricts access to case manager and investigator
- Graphic tool for managing hierarchical lists, via drag and drop
- Audit utility tracks changes to the database

Reports

- Large standard reports library generates Devesys Technologies' famed "Board-Quality" reports, ready for printed handouts and presentations
- Wide range of choices in search criteria
- Case listing reports can include text fields or exclude all but statistical data depending on the intended audience
- Cases Closed During the Quarter lists all cases closed regardless of when they were opened
- Days Open lists cases in order of number of days open
- Investigations shows all open, or closed investigations
- Financial Impact summarizes losses and gains for selected cases in a date range
- Aging report shows aging by case manager, division, category, etc.
- Custom Reports allow flexibility in search criteria and output types (tables, pie charts, bar graphs)
- Comparisons reports show differences in performance over two to four time periods, help to reveal trends and take preventive measures
- Export to Excel allows transfer of selected fields to an xls file for further analysis or to pass on to other applications
- Days to Close displays bar graph sorted by Categories, Case Managers, Divisions, etc.
- Cycle Time shows length of time taken to close cases measured against desired time
- Opened, Closed, Opened analyzes backlog, showing cases open at beginning of time period, cases opened during time period, cases closed, remaining open cases
- Average Time to Close by Month shows differences in closing times from month to month



Purchasing Options, Additional Features & Services, System Requirements

Adapts to Your Industry & Corporate Culture

- Editable field labels and drop down lists allow you to adjust terminology to fit your company
- Add and delete items from any drop down list as needs and regulations change
- Optional fields (including text, drop down lists, dates, and check boxes) on most screens allow you to add functionality when new needs arise

IT Conveniences

- Simple installation via scripts
- Updates and patches accomplished via scripts
- Application can be distributed on a webfarm to optimize performance
- Database can share server space with other applications
- Compatible with Business Objects Enterprise
- Security administrator access privilege allows SQL Server administrator to manage user accounts, but not view case data

Security Considerations

- Works with Oblix and other single sign-on systems
- Compatible with Windows Authentication, LDAP, Active Directory
- Resides completely behind your firewall to protect confidential data
- Assignable access privileges based on each user's need and job responsibilities

Optional Features & Services

- Hotline Import Tool for all major hotline vendors
- Choice of desktop or fully automated hotline import/response system
- External database Lookup Feature (fetches data and populates corresponding fields in TrakWeb 3.0)
- Database encryption (requires SQL Server 2005)
- Legacy Data Migration
- On-Site Training
- Custom modifications

Purchasing Options

- Subscription pricing (3 year minimum contract includes technical support and software maintenance)
- Perpetual License (technical support and software maintenance optional at extra cost after one year)
- Additional licenses may be purchased at any time in any quantity

System Requirements

- SQL Server 2000, 2005
- IIS Server Enterprise Edition, 5.1 or higher
- Optional secure FTP server
- Optional SMTP server
- SQL Server space typically 20 to 100 GB, more recommended if large documents will be attached to most cases
- Windows XP or Vista on workstations running Internet Explorer 6 or 7

