

TrakEnterprise® 6.5, The Hub of Your GRC System

- Reports Come From Many Sources
- Most Reports Are Delivered Orally to Managers and Staff
- Thousands of Managers Receive Reports Every Day
- Reports Arrive In Every Department
- Hotlines Typically Hear From Only 1% of Employees
- How Do You Capture and Measure All of This Information from All These Channels?

TrakEnterprise Collects All Your Data Into a Single Database

Connects Related Departments

- Single central database serves all participating departments, across the enterprise
- Separate workgroups for each department (Ethics and Compliance, HR, EEO, Security, Internal Audit, Legal) preserve confidentiality of sensitive data
- Individual cases may be assigned to a particular user in another workgroup for investigation and advice
 - Ability to assign cases across workgroups creates an awareness of larger problems not confined to a single department or part of the organization
- All data stored in the central database can be compared and cross-referenced to see the larger picture, and spot emerging trends

TrakEnterprise Connects to Other Systems

- Import tool automatically collects case reports from the Hotline and creates new TrakEnterprise cases ready for investigation
- Optional Lookup feature can pull data from selected fields in your HR database and populate fields in TrakEnterprise with current, accurate information
- Connectivity allows flexibility to change vendors of ancillary services when desired, while retaining all legacy data and the reports you and executive management depend on

Enterprise-Wide Metrics

- Reports can show enterprise-wide performance or take narrow slices of data to pinpoint problem areas, groups, or issues
- Scope and content of Reports dependent on user's access privileges
- Reports can show patterns that cross departmental boundaries and which may not be apparent to individual departments
- Reports can discover a body of cases that might soon become large enough for a class action lawsuit against the company

Collect and Manage Reports From All Sources

- 97% of employees report violations to their managers or other company staff
- TrakEnterprise 6.5 provides a central collection point for all this anecdotal data
- Once the data is in the database, you can track it, manage it, and measure it
- If you can measure the data, you can take actions to minimize future occurrences
- TrakEnterprise 6.5 tracks both personal and systemic corrective actions, so you know that they have been carried out on time



TrakEnterprise 6.5 Has Tools to Make Your Job Easier

User Conveniences, Administrative Tools, Reports

Dashboard Control Center

- Keeps everyone organized and on time
- Immediate access to all your open cases, investigations, hot cases, overdue cases, corrective actions programs in progress, and responses due
- Alerts (Tasks) collected from all your cases and displayed in a convenient action list
- Graphs show your workload and case distribution at a glance
- Dashboard lists are printable as formatted reports
- Instant access to Search, Reports, New Case screens

User Tools

- Main Screen provides easy access to all information on the case, with basic statistical fields at the top, and case specific fields at the bottom
- All case data just one or two steps away from the Main Screen for easy access
- Streamlined new case entry
- Accepts multiple reporters, involved parties, categories, investigations, events, corrective action programs, and cross-references
- Instant cross-references from Browse and Search Results Lists
- User default settings speed up new case entry
- Text macros automatically enter frequently used words, phrases, or paragraphs
- Events list documents milestones in the case
- Automatic email notification when assigning case manager or investigator to case
- Attached documents can be any Windows-compatible file format, including scanned documents, PDFs, mp3s, and clips from surveillance tapes
- Compatible with Zip folders and Office 2008 documents

Administrative Tools

- Streamlined User Accounts Screen
- Corrective Actions Programs (CAPs) tracks activities after the has been case closed, helps to ensure that follow ups are carried out in a timely manner
- CAPs can be systemic or directed toward individuals
- Automated import from Hotlines via FTP server
- Private Case Handling for the most sensitive cases, restricts access to case manager and investigator
- Graphic tool for managing hierarchical lists, via drag and drop
- Audit utility tracks changes to the database

Reports

- Large standard reports library generates Devesys Technologies' famed "Board-Quality" reports, ready for printed handouts and slide presentations
- Company logo and confidentiality statement can be placed on all reports
- All reports provide wide range of choices in search criteria
- Case listing reports can include text fields or exclude all but statistical data depending on the intended audience
- Cases Closed During the Quarter lists all cases closed regardless of when they were opened
- Days Open lists cases in order of number of days open
- Investigations shows all open, or closed investigations
- Financial Impact summarizes losses and gains for selected cases in a date range
- Aging report shows aging by case manager, division, category, etc.
- Custom Reports allow flexibility in search criteria and output types (tables, pie charts, bar graphs)
- Comparisons reports show differences in performance over two to four time periods, help to reveal trends and take preventive measures



Purchasing Options, Additional Features & Services, System Requirements

- Export to Excel allows transfer of selected fields to an xls file for further analysis or to pass on to other applications
- Days to Close displays bar graph sorted by Categories, Case Managers, Divisions, etc.
- Cycle Time shows length of time taken to close cases measured against the desired time goal
- Opened, Closed, Opened analyzes backlog, showing cases open at beginning of time period, cases opened during time period, cases closed, remaining open cases
- Average Time to Close by Month shows differences in closing times from month to month

Adapts to Your Industry & Corporate Culture

- Control over optional features allows you to adjust the application to your company's needs
- Editable field labels and drop down lists allow you to adjust the terminology to fit your company
- Add and delete items from any drop down list as needs and regulations change
- Optional fields (including text, drop down lists, dates, and check boxes) on most screens allow you to add functionality when new needs arise

IT Conveniences

- Simple installation via scripts for server, and installer utility for workstations (can be run remotely)
- Updates and patches accomplished via scripts
- Compatible with Citrix Server, Terminal Server, VMWare
- Can share server space with other applications
- Compatible with Business Objects Enterprise
- Configure-Only access allows SQL Server administrator to manage user accounts without accessing any data

Security Considerations

- Compatible with Windows Authentication, LDAP, SQL Server Authentication
- Resides completely behind your firewall to protect confidential data
- Assignable access privileges based on each user's need and job responsibilities
- Workgroup separation keeps information confidential
- Private Case Handling restricts access to the case manager and investigator

Optional Features & Services

- Hotline Import Tool for all major hotline vendors
- External database Lookup Feature (fetches data and populates corresponding fields in TrakEnterprise 6.5)
- Database encryption (requires SQL Server 2005)
- Legacy Data Migration
- On-Site Training
- Custom modifications

Purchasing Options

- Subscription pricing (3 year minimum contract includes technical support and software maintenance)
- Perpetual License (technical support and software maintenance optional at extra cost after one year)
- Additional licenses may be purchased at any time in any quantity

System Requirements

- SQL Server 2000, 2005
- Server space typically 2 to 3 GB, more recommended if large documents will be attached to most cases
- Windows XP or Vista
- Workstations connected to your network with 20 MB available disk space, 1 to 2 GB RAM
- Optional FTP Server for Hotline communication
- Optional SMTP Server

